

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Levine et al.**

Serial No.: **Not Assigned**

Filed: _____

For: **Method and Apparatus to
Autonomically Count Instruction
Execution for Applications**

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§ Group Art Unit: **Not Assigned**

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§ Examiner: **Not Assigned**

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§ Attorney Docket No.: **AUS920030484US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

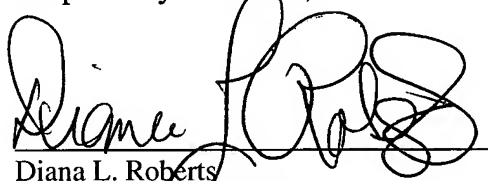
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 9/25/03

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Diana L. Roberts", written over a horizontal line.

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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>	ATTORNEY DOCKET NO. AUS920030484US1		SERIAL NO. Not Assigned
	APPLICANT Levine et al.		
	FILING DATE	GROUP ART UNIT Not Assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,103,394	Apr. 7, 1992	Blasciak	395/575	Dec. 21, 1989
	AB 6,330,662 B1	Dec. 11, 2001	Patel et al.	712/236	Feb. 23, 1999
	AC 6,480,938 B2	Nov. 12, 2002	Vondran, Jr.	711/125	Dec. 15, 2000
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DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	BB 2002/0129309	Sep. 12, 2002	Floyd et al.	714/724	Dec. 18, 2000
	BC 2001/0032305	Oct. 18, 2001	Barry	712/34	Feb. 23, 2001
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EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	BH JP2000029731	Dec. 8, 1999	Japan	G06F 9/38	<input checked="" type="checkbox"/> X
	BI JP2000347863	Dec. 15, 2000	Japan	G06F 9/38	<input checked="" type="checkbox"/> X

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	BJ	Kikuchi, "Parallelization Assist System", Joho Shori, Vol. 34, No. 9, Sept. 1993, pp. 1158-1169.			
	BK	Cohen et al., "Hardware-Assisted Characterization of NAS Benchmarks", Cluster Computing, Vol. 4, No. 3, July 2001, pp. 189-196.			
	BL	Talla et al., "Evaluating Signal Processing and Multimedia Applications on SIMD, VLIW and Super Scalar Architectures", International Conference on Computer Design, Austin, Sept. 17-20, 2000, pp. 163-172.			
	BM	Iwasawa et al., "Parallelization Method of Fortran DO Loops by Parallelizing Assist System", Transactions of Information Processings Society of Japan, Vol. 36, No. 8, Aug. 1995, pp. 1995-2006.			
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	BO	IBM Research Disclosure Bulletin 444188, "Enable Debuggers as an Objective Performance Measurement Tool for Software Development Cost Reduction", April 2001, pp. 686-688.			

RELATED PATENT APPLICATIONS

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	BP 09/435,069 AT9-99-491	Davidson et al.	Method and Apparatus for Instruction Sampling for Performance Monitoring and Debug	Nov. 4, 1999
	BQ 08/538,071 AUT919950055US1	Gover et al.	Method and System for Selecting and Distinguishing an Event Sequence using an Effective Address in a Processing System	Oct. 2, 1995

DATE CONSIDERED

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